

## Compressed sensing in cryptography

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**Abstract**-Compressed sensing (CS) is a new signal processing technique, it allows the signal to be sampled at a rate much lower than the Shannon-Nyquist rate, and allows to sample and compress in one step using the sparsity of signal that can represent a signal with fewer number of samples. The signal can be sparse in the original domain or a different domain like the discrete cosine transform DCT, the discrete Fourier Transform DFT, Wavelet transform DWT...etc. the reconstruction of the CS allows recover the original signal with less compression measures. CS has already become a key concept in various fields applied mathematics, computer science, and electrical engineering and it has applied to various fields including radar imaging, the signal extraction...etc.

In this paper, we present the theoretical bases of CS that divides into two parts first part is the acquisition model or the part of encryption, the second part is the various methods of reconstruction of the CS or the part of decryption. In addition, we give application of CS in cryptography and some others applications that use the CS technique.

**Keywords**-Compressive Sensing CS / sparsity / signal sparse / recovery algorithm / minimization  $l_1$ .

**Résumé**-L'acquisition comprimée (AC) est une nouvelle technique de traitement du signal, il permet d'échantillonner le signal à un taux très inférieur au taux de Shannon-Nyquist, et permet d'échantillonner et compresser dans une seule étape en utilisant la parcimonie de signal qui permet de représenter un signal avec moins de nombre d'échantillons. Le signal peut être parcimonie dans le domaine original ou un domaine différent comme la Transformée en cosinus discrète DCT, la Transformée en Fourier discrète DFT, Transformée en ondelettes DWT...etc. la reconstruction de l'AC permet de récupérer le signal original avec moins de mesures de compression. AC est déjà devenu un concept clé dans divers domaines des

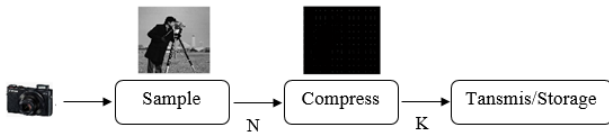
mathématiques appliquées, l'informatique, et le génie électrique et il est appliqué à divers domaines, y compris l'imagerie par radar, l'extraction de signal...etc.

Dans cet article nous présentons les bases théoriques de l'AC qui divise en deux parties première partie c'est le modèle d'acquisition ou la partie du chiffrement, et la deuxième partie c'est les différentes méthodes de reconstruction de l'AC ou la partie du déchiffrement, et nous donnons l'application de l'AC dans la cryptographie et quelques d'autres applications qui utilisent la technique de l'AC.

**Mots clés**- Acquisition comprimée AC / Parcimonie / signalépars / Algorithme de récupération / la minimisation  $l_1$ .

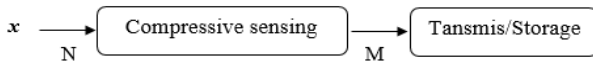
### 1. INTRODUCTION

The digital acquisition systems relies on the Shannon-Nyquist theorem that says to avoid any loss of information in a signal, the sampling frequency must be greater than or equal twice the maximum frequency of the signal original. However, for some applications, such as radar and broadband communications the application of this theorem results in sampling rates that are almost beyond the limit of the physical capabilities of analog-to-digital converters [1]. Moreover, for transmission or storage it must use compression thus eliminate most of the coefficients (show in figure 1) to save energy, the bandwidth of the transmission medium and the storage memory.



**Figure 1:** classical method of sampling and signal compression in the digital camera

The recently technique compressive sensing CS (or compressive sampling) introduced by Donoho et Candés et al [2]–[4] is a new signal processing technique, it allows to sample the signal at a rate much lower than the Shannon-Nyquist rate, and allows to sample and compression of signal in one step.



**Figure 2:** Compressive sensing

CS has already become a key concept in various fields such as radar imaging, signal extraction, laser scanning, medical imaging, surface metrology...etc [5].

CS relies on two principles sparsity and incoherence.

A signal is sparse if it contains only a few non-zero elements or approximately sparse if it contains only a few voluminous elements and if the other elements are almost null[6]. Suppose that  $x$  a signal vector of  $R^{N \times 1}$ , this vector is sparse if it has  $K$  non-zero elements with  $K \ll N$ . In most of the cases, the measurement signal may have a sparse representation in a particular domain  $\psi$  like the discrete cosine transform DCT, the discrete Fourier Transform DFT, Wavelet transform DWT... etc (for example a Dirac spike in the space domain extends into the frequency domain). The minimum number of samples to reconstruct a signal depends on its parsimony and not its bandwidth [6].

If the vector  $x$  is not sparse then it can have a sparse representation such as equation (1):

$$x = \psi * \alpha \quad (1)$$

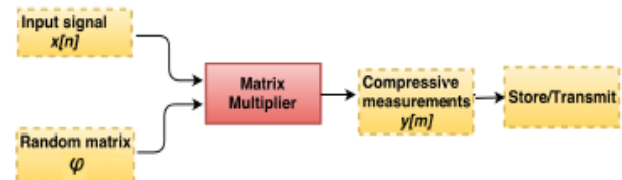
With  $\psi$  a matrix  $R^{N \times N}$  and  $\alpha$  a vector has only  $K$  non-zero elements.

Incoherence expresses the idea that objects with sparse representation in domain  $\psi$  must dispersed in the field in which they acquired, it

indicates that, unlike the signal of interest the sampling/sensing waveforms have an extremely dense representation in  $\psi$  [7].

## 2. ACQUISITION MODEL

The acquisition model shown in figure 3 [8] :



**Figure 3:** acquisition model

The acquisition model can written mathematically by the equation (2):

$$Y = \phi * x = \phi * \psi * \alpha = A * \alpha \quad (2)$$

Where  $x$  is signal original  $R^{N \times 1}$ ,  $\phi$  matrix  $R^{M \times N}$  ( $K < M < N$ ) and called measurement matrix,  $Y$  is a  $R^{M \times 1}$  measurement vector,  $A$  is the holographic dictionary and  $A = \phi * \psi$ .

In the equation (2), we assume that the measurements are accurate. However, in any real application, the measured data will invariably corrupted by at least a small amount of noise, since detection devices do not have infinite precision. It is therefore imperative to develop stable recovery algorithms of CS where small disturbances in the data should only cause small disturbances in the reconstruction [9]. Taking into account the presence of additive noise during the acquisition phase, the equation (2) becomes equation (3):

$$Y = A * \alpha + \epsilon \quad (3)$$

Where  $\epsilon \in R^M$  is a vector representing the noise. In most of the cases, it is considered as a Gaussian white noise with a zero average and a variance  $\sigma^2$ ,  $N(0, \sigma^2)$  [10].

The compressed sensing theory indicates that the sparse signal  $\alpha$  may recover by taking  $M \geq O(K \log(\frac{N}{K}))$  [6].

For  $M \ll N$  then there exists an infinity of vectors  $\alpha$  satisfying the equations (2) and (3), therefore the measurement matrix  $\phi$  (or in general  $A$ ) must satisfy a certain conditions.

### 2.1. Mutual coherence

The coherence  $\mu$  of the measurement matrix  $\Phi$  and the representation base  $\psi$  is:

$$\mu(\Phi, \psi) = \sqrt{N} \max_{1 \leq k, j \leq N} |\langle \Phi_k \psi_j \rangle| \quad (4)$$

The coherence measures the greatest correlation between any two elements  $\Phi$  and  $\psi$ . It follows from linear algebra that  $\mu(\Phi, \psi) \in [1, \sqrt{N}]$ . CS mainly concerns low coherence pairs [7].

### 2.2. Condition of uniqueness

To ensure that two separate signals  $\alpha_1$  and  $\alpha_2$  generate two different measurement vectors  $Y_1 = A\alpha_1$  and  $Y_2 = A\alpha_2$  ( $Y_1 \neq Y_2$ ). Established the following equation (5):

$$K < \frac{1}{2} \text{Spark}(A) \quad (5)$$

Where **Spark**(A) of a matrix A is equal to the smallest number of columns of A that are linearly dependent. Since the value of **Spark**(A) varies between two and (M + 1), so  $M > 2K$ . This theorem guarantees the uniqueness of the representation of a sparse vector, therefore for each measurement vector Y, there is at most one sparse signal  $\alpha$  such as  $Y = A\alpha$  [1].

### 2.3. Restricted isometry property RIP

A matrix A satisfied the RIP of order K if there is constant  $\delta_k \in ]0, 1[$  called restricted isometry constant (RIC), verifying the equation (6) [11]:

$$(1 - \delta_k) \|\alpha\|_2^2 \leq \|A\alpha\|_2^2 \leq (1 + \delta_k) \|\alpha\|_2^2 \quad (6)$$

Where  $\|x\|_2 = \sqrt{\sum_{i=1}^N x_i^2}$  called norm  $l_2$ . The RIP check if the measurement matrix A is close to an isometry (if it preserves the distance between two measurement vectors). In other words. If the measurement matrix satisfies the RIP then the distance between two measurement vectors  $Y_1 = A\alpha_1$  and  $Y_2 = A\alpha_2$  is proportional to the distance between  $\alpha_1$  and  $\alpha_2$ , the RIP is an important property that guarantees the reconstruction of the signal. However, it is difficult to verify whether a matrix satisfies the RIP or not [12].

### 2.4. Choose the measurement matrix

The studies have shown that when measurement matrices are built randomly (like Random Gaussian Matrix) these conditions can be met with a high probability [13]. Recently, deterministic matrices have been proposed to facilitate implementation. They have been specially designed to have low coherence [14][15][16].

## 3. RECONSTRUCTION MODEL

The original signal reconstructed from the Y measurement vector, the measurement matrix  $\Phi$  and domain  $\psi$  during a phase called the reconstruction phase. It works in two steps (figure 4 [8]):

- The first step is to find the vector  $\hat{\alpha}$  corresponding to the solution of the equation 2 (or equation 3 in the case of noise).
- Once  $\hat{\alpha}$  is obtained, the final step reconstructs the signal  $\hat{x} = \psi * \hat{\alpha}$ .

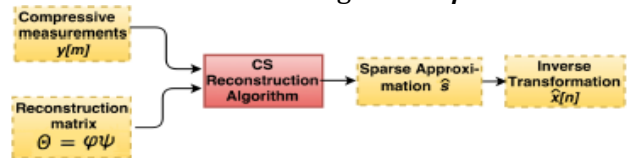


Figure 4: Reconstruction model

Since  $M < N$  there are an infinity of vectors  $\alpha$  satisfying the equation 2 (or equation 3 in the case of noise), but taking into account the hypothesis that  $\alpha$  is sparse in the domain  $\psi$ , the problem is to solve the minimization  $l_0$  (the equation 7):

$$\min_{\hat{\alpha}} \|\hat{\alpha}\|_0 \text{ such as } A\hat{\alpha} = Y \quad (7)$$

In the case of noise, the equation (7) becomes equation (8):

$$\min_{\hat{\alpha}} \|\hat{\alpha}\|_0 \text{ such as } \|Y - A\hat{\alpha}\|_2 \leq b \quad (8)$$

Where  $\|x\|_0 = \{i : x_i \neq 0\}$  called norm  $l_0$ , and b represents the noise power expected in observations [1].

Solving this equation requires an exhaustive search for the sparsest solution  $\hat{\alpha}$  and is very complex to implement [11]. Several methods have been proposed in the literature to work around this

problem. They can be categorized into three groups [17]:

- Greedy pursuit, for example matching pursuit (MP) its extension called Orthogonal Matching Pursuit (OMP). They are iterative methods and generally easy to implement. At each iteration, they select one or more columns of the matrix  $A$  according to its correlation with the measurement vector  $Y$ . Then, they measure an approximation of the signal and update the residual that will be used in the next iteration [18][19][20].
- Convex relaxation, for example basis pursuit (BP). They consist of finding convex minimizations that are approaching the equation (7) (or equation 8 in the case of noise) [21].
- Bayesian inference for example sparse Bayesian learning.

### 3.1. Matching pursuit (MP)

When the measurement matrix  $A$  is an orthogonal base, it is possible to reconstruct an approximation of the signal by selecting one by one the columns of  $A$  having a maximum correlation with the residual [1]. MP is the simplest version of greedy algorithms.

The MP starts by initializing the residual  $r$  with the  $Y$  measurement vector. It also initializes the approximation of the signal  $\hat{\alpha}$  by a null vector, like in the equation (9) [22]:

$$r_0 \leftarrow Y \quad \hat{\alpha} \leftarrow 0 \quad (9)$$

At each iteration  $k$ , MP selects a column of the matrix  $A$  having a maximum correlation with the residual:

$$\delta_k = \arg \max |\langle r_{k-1}, a_i \rangle| \quad (10)$$

Where  $\delta_k$  is the index of the selected column,  $r_{k-1}$  is the residual of the previous iteration,  $a_{i \in \{1, N\}}$  represents the columns of matrix  $A$ .

Then, the MP calculates a new approximation of the signal and updates the residual:

$$\hat{\alpha}_k = \hat{\alpha}_{k-1} + \langle r_{k-1}, a_{\delta_k} \rangle a_{\delta_k} \quad (11)$$

$$r_k = r_{k-1} - \langle r_{k-1}, a_{\delta_k} \rangle a_{\delta_k} \quad (12)$$

Where  $\hat{\alpha}_{k-1}$  represents the approximation of the signal obtained during the previous iteration,  $a_{\delta_k}$  is the column of matrix  $A$  selected.

Iteration stops when a certain condition is met. In the literature, several stopping conditions have been proposed [23]:

- Stop after a finite number of iterations.
- Stop when the amplitude of the residual is lower than a predefined threshold.

The disadvantage of this method is that the measurement matrix  $A$  is not always orthogonal. In this case, a column of matrix  $A$  can be selected several times during the selection phase. MP converges exponentially [22].

### 3.2. Basis Pursuit (BP)

To get around the complexity of the minimization  $l_0$  methods based on convex relaxation, like norm  $l_1$  known as Basis Pursuit (BP) introduced by Chen, Donoho and Saunders, relax the problem by replacing the norm  $l_0$  with norm  $l_1$  if matrix  $A$  satisfies the RIP and use convex solvers to solve the equation (13):

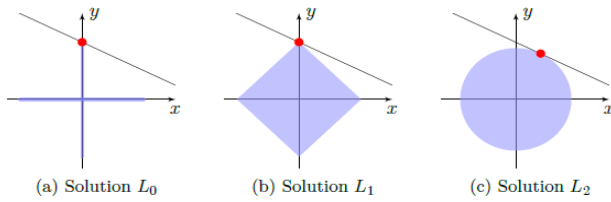
$$\min_{\hat{\alpha}} \|\hat{\alpha}\|_1 \text{ such as } A\hat{\alpha} = Y \quad (13)$$

In the case of noise, equation (13) becomes equation (14):

$$\min_{\hat{\alpha}} \|\hat{\alpha}\|_1 \text{ such as } \|Y - A\hat{\alpha}\|_2 \leq b \quad (14)$$

Where  $\|x\|_1 = \sum_{i=1}^N |x_i|$  called norm  $l_1$ . Interest of norm  $l_1$  resides in the fact that unlike norm  $l_0$  it is convex. The equations 13 and 14 reduced to a simple linear programming problem. Many minimizations are then considered [21].

Figure 5 illustrates this fact. In all three cases, we have the same set of solutions represented by a straight line and the solution of the minimization represented by a red dot. Figure 5a shows the minimization of the norm  $l_0$ , norm  $l_1$  in Figure 5b reconstructed a good solution, while minimization  $l_2$  in Figure 5c gives a rough solution [21].



**Figure 5:** Reconstruction according to different norm

### 3.3. Greedy pursuit VS Convex relaxation

According to the literature, greedy algorithms are easy to implement and potentially fast compared to those based on convex relaxation [24][25]. However, convex relaxation require fewer samples to reconstruct the original signal compared to greedy algorithms [26].

### 3.4. Basis pursuit denoising (BPDN)

In the literature, the method using the equation 13 called basis pursuit with inequality constraints (BPIC). Another variant of this method called basis pursuit denoising (BPDN) consists of reformulate the problem of the equation (15) [27]:

$$\min_{\hat{\alpha}} \frac{1}{2} \|Y - A\hat{\alpha}\|_2^2 + \lambda \|\hat{\alpha}\|_1 \quad (15)$$

Where  $\lambda > 0$  the balancing parameter.

## 4. Application of the compressed sensing

### 4.1. Compressed sensing in cryptography

One of the important application of CS is cryptography. CS resolves the measurement matrix as a secret key and the compressive measures as an encrypted message. Which makes the CS a technique of simultaneous acquisition, compression and encryption of signals [8]. And by taking  $M > 2K$  guarantee the perfect security of data [28].

#### 4.1.1 Image encryption

Encryption images with CS is one of the most big research that use the new technique CS, many algorithms was developed in last few years such as [29]–[32]. Since the measurement matrix is the key of the encryption, the objective of the research was how to develop this matrix to give the same result as the Gaussian matrix since the

result of Gaussian matrix is the best result but this matrix have a large of size and very difficult to change between two points. The study of encryption image by CS show that one of the best methods to generate this matrix is the chaotic systems since you need only the initial parameters of the chaotic systems as key to send it. The major of algorithms works with the following steps: by transform the plain image to DCT image or DWT image that change the matrix of the plain image to sparse matrix with most of their elements are nulls, then use the chaotic systems to generate the measurement matrix. The last step is applied the CS between the sparse matrix and the measurement matrix to have the cipher image. For more complexity of encryption, the most of the algorithms used scrambling method such as the bloc Arnold to make very hard to decrypt the image without the right key. To recover plain image from the cipher image they used one of the reconstruction algorithm described in section 3 like minimization  $l_0$ , minimization  $l_1$ ...etc. This image encryption are used in various domain such as:

- Data hiding: this method was proposed in [33]–[35], The integration rate has a big boost compared to the method of masking separable data existing in the encrypted image [36].
- image authentication: this method was employed in [37], [38], encrypt a finger image using CS when capturing image, while finger image can only be restored on the authentication server.

#### 4.1.2 Cloud security

The cloud computing have guaranteed confidentiality based on CS [39], [40], Different domain technologies have been synthesized to find the perspective of security, efficiency and complexity aspects. The outsourcing of the sparse reconstruction service to several clouds in parallel has been described in [41], while each cloud has only few information of measurement and asymmetrical support set, the security of the plain image is guaranteed.

#### 4.1.3 Security in 5G system

Security in 5G system based on CS has been proposed in [42], [43], it's show that the sparse



signal that is the principle of CS can be the source of the requirements 5G system and avoid the sampling with the Nyquist method, and that cause the reduce of the complexity and increased reliability.

#### 4.1.4 A simple encryption image with CS

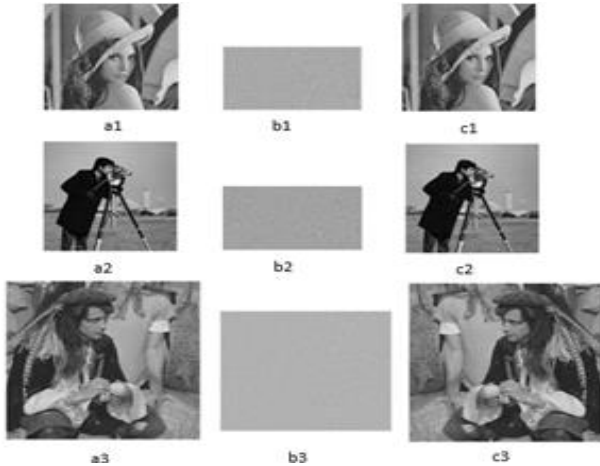
We used DCT domain to transform matrix to sparse matrix, and logistic map as chaotic system to generate measurement matrix and their equation is:

$$s_{n+1} = \mu (1 - s_n) \quad (16)$$

Where  $s_0$  is initial condition,  $\mu$  the coefficient of parameter and  $n$  the number of iterations. We took  $s_0=0.1$ ,  $\mu=3.9999$ . The compression ratio with the equation:

$$CR = \frac{T_1 \times T_2}{e_1 \times e_2} \quad (17)$$

Where  $T_1, T_2$  the size of the plain image and  $e_1, e_2$  the size of the encryption image, in this examples  $CR \approx 0.5$ .



**Figure 6:** simulations and results of a simple encryption with CS, a(1-4) plain image, b(1-4) cipher image, c(1-4) decrypted image

We calculated the PSNR (Peak Signal to Noise Ratio) and SSIM (Structural Similarity Index Measurement) with the following equations:

$$PSNR = 10 \log \frac{255 \times 255}{(1/M \times N) \sum_{i=1}^M \sum_{j=1}^N (X(i,j) - Y(i,j))^2} \quad (18)$$

Where  $M, N$  the size of the image and  $X(i, j)$  and  $Y(i, j)$  are the pixel.

$$SSIM = \frac{(2\mu_x\mu_y + C_1)(2\sigma_{xy} + C_2)}{(\mu_x^2 + \mu_y^2 + C_1)(\sigma_x^2 + \sigma_y^2 + C_2)} \quad (19)$$

where  $C_1 = (k_1 \times L)^2$ ,  $C_2 = (k_2 \times L)^2$ ,  $k_1 = 0.01$ ,  $k_2 = 0.02$ ,  $L = 255$ , and  $\mu_x, \mu_y, \sigma_x, \sigma_y, \sigma_{xy}$

represent the mean, variances and covariances of the plain image and decrypted image, respectively.

**Table 1: PSNRs (dB) and SSIMs for different images**

Image(pixels)	PSNR(dB)	SSIM
Lena(256x256)	24.8045	0.5691
Cameraman(256x256)	24.2178	0.5312
Man(512x512)	24.3192	0.5166

## 4.2. Others Applications of CS

### 4.2.1 Compressive imaging

-Single-pixel camera: One of the first and very famous architectures illustrating the compressive imagery proposed by Duarte et al [44].

-Radar Imaging Systems: The different types of radar imaging techniques for which CS has used are synthetic aperture radar (SAR) inverse synthetic aperture radar (ISAR), the wall imaging radar (TWR), ground-penetrating radar imaging (GPR) [45]-[48].

### 4.2.2 Video processing

Among the video processing techniques based on CS: distributed compressed video detection, the sampling of video images is done independently while the reconstruction is done jointly. Detection adaptive video using block-based CS reconstruction and CS streaming for high-speed periodic videos based on coded projections of dynamic events...etc [49][50].

## 5. CONCLUSION

CS has revolutionized many areas like camera, radar information security, communications networks, biomedical ... etc, one of the most of areas is the cryptography, and by using the measurement matrix as key, the secure of data can be guaranteed. In this paper, we have detailed the basic theory principle of CS with some reconstruction algorithms and some applications in different fields specifically in the domain of cryptography, and the major of research is how to develop the measurement matrix like with chaotic systems. In addition, for more clearly, we gave a simple example of encryption image by CS using logistic map for generate the measurement matrix. The CS will be the key of revolution network like 5G and cloud

scenario...etc, because this technique is better than the existing technique.

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